

论著·临床研究

## 弥漫大B细胞淋巴瘤患者临床特征及预后分析

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**[摘要]** **目的**·分析弥漫大B细胞淋巴瘤 (diffuse large B-cell lymphoma, DLBCL) 患者临床特点和预后危险因素, 评估自体造血干细胞移植 (autologous stem cell transplantation, ASCT) 及利妥昔单抗维持治疗对 DLBCL 患者预后的影响。**方法**·收集上海交通大学医学院附属新华医院血液科 2015 年 1 月—2020 年 1 月收治的 160 例经病理及免疫分型初次确诊的 DLBCL 患者的临床资料, 分析影响患者疗效与预后的危险因素。分析复发/难治性 DLBCL 患者的临床特征, 评估挽救性 ASCT 对患者总生存 (overall survival, OS) 的影响。对中期评估达到完全缓解 (complete remission, CR) 的高危患者, 评估 ASCT 及利妥昔单抗维持治疗对其生存预后的影响。**结果**·初治年龄 >60 岁 ( $P=0.005$ )、国际预后指数 (International Prognostic Index, IPI) 3~5 分 ( $P=0.032$ )、低白蛋白水平 ( $P=0.001$ ) 及贫血 ( $P=0.007$ ) 患者的近期疗效不佳。多因素分析结果显示: 患者初治年龄 >60 岁 ( $HR=2.788$ ,  $95\%CI$  1.575~4.936,  $P=0.000$ ), non-GCB 亚型 ( $HR=2.230$ ,  $95\%CI$  1.150~4.324,  $P=0.018$ ), 乳酸脱氢酶水平升高 ( $HR=2.064$ ,  $95\%CI$  1.006~4.234,  $P=0.048$ ), 低白蛋白水平 ( $HR=2.052$ ,  $95\%CI$  1.169~3.602,  $P=0.012$ ) 是影响患者无进展生存 (progression-free survival, PFS) 的独立危险因素; 患者初治年龄 >60 岁 ( $HR=2.269$ ,  $95\%CI$  1.060~4.860,  $P=0.035$ ), IPI 评分 3~5 分 ( $HR=2.557$ ,  $95\%CI$  1.132~5.778,  $P=0.024$ ) 作为独立因素影响患者 OS。对于复发/难治性 DLBCL 患者, 挽救性 ASCT 能显著改善其预后, 是患者死亡事件的保护性因素 ( $P=0.030$ )。对于化学治疗后中期评估达到 CR 的高危患者, 巩固性 ASCT 及利妥昔单抗维持治疗者至随访终点尚未出现死亡事件, 但并未延长患者 OS ( $P>0.05$ )。**结论**·挽救性 ASCT 能显著延长复发/难治性 DLBCL 患者 OS, 但巩固性 ASCT 及利妥昔单抗维持治疗并不能延长高危 DLBCL 患者 OS。

**[关键词]** 弥漫大B细胞淋巴瘤; 自体造血干细胞移植; 临床特征; 预后因素

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## Clinical characteristics and prognosis of patients with diffuse large B-cell lymphoma

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**[Abstract]** **Objective**·To analyze the clinical characteristics and prognostic risk factors of patients with diffuse large B-cell lymphoma (DLBCL), and evaluate the prognostic effects of autologous stem cell transplantation (ASCT) and rituximab maintenance therapy on DLBCL patients. **Methods**·The clinical data of 160 patients with DLBCL who were first diagnosed by pathology and immunotyping were collected from the Department of Hematology, Xinhua Hospital, Shanghai Jiao Tong University School of Medicine from January 2015 to January 2020, and the risk factors affecting the efficacy and prognosis of patients were analyzed. Moreover, the clinical characteristics of patients with relapsed/refractory DLBCL and the effect of salvage ASCT on overall survival (OS) were assessed. For those high-risk patients who achieved complete remission (CR) in the interim assessment, the impact of ASCT and rituximab maintenance therapy on survival outcomes was further assessed. **Results**·Patients with initial age of treatment >60 years ( $P=0.005$ ), International Prognostic Index (IPI) 3–5 scores ( $P=0.032$ ), low albumin level ( $P=0.001$ ) and anemia ( $P=0.007$ ) had poor efficacy. Multivariate analysis showed that the initial age of treatment >60 years ( $HR=2.788$ ,  $95\%CI$  1.575–4.936,  $P=0.000$ ), non-GCB subtype ( $HR=2.230$ ,  $95\%CI$  1.150–4.324,  $P=0.018$ ), elevated lactate dehydrogenase level ( $HR=2.064$ ,  $95\%CI$  1.006–4.234,  $P=0.048$ ) and low albumin level ( $HR=2.052$ ,  $95\%CI$  1.169–3.602,  $P=0.012$ ) were the independent risk factors for progression-free survival (PFS). The initial age of treatment >60 years ( $HR=2.269$ ,  $95\%CI$  1.060–4.860,  $P=0.035$ ) and IPI scores of 3 to 5 ( $HR=2.557$ ,  $95\%CI$  1.132–5.778,  $P=0.024$ ) were independent factors affecting OS. For patients with relapsed/refractory DLBCL, salvage ASCT was found to significantly improve the prognosis of these patients and was a protective factor for the death event of patients ( $P=0.030$ ). For patients in the high-risk group who achieved CR in the interim evaluation after chemotherapy, there were no deaths in patients on maintenance therapy with consolidation ASCT and rituximab to the end point of follow-up; however, it did not prolong the OS of the patients ( $P>0.05$ ). **Conclusion**·In patients with relapsed/refractory DLBCL, salvage ASCT can significantly prolong the OS, whereas in the high-risk patients of DLBCL, consolidation ASCT and rituximab

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maintenance therapy can't prolong the OS.

**[Key words]** diffuse large B-cell lymphoma (DLBCL); autologous stem cell transplantation (ASCT); clinical feature; prognostic factor

弥漫大B细胞淋巴瘤 (diffuse large B-cell lymphoma, DLBCL) 是非霍奇金淋巴瘤 (non-Hodgkin's lymphoma, NHL) 中最常见的病理亚型, 占有 NHL 的 30%~40%<sup>[1]</sup>。DLBCL 在临床特点、实验室指标、免疫表型、对治疗方案的反应性等方面具有很强的异质性, 影响疗效及预后。R-CHOP (利妥昔单抗+环磷酰胺+阿霉素+长春新碱/长春地辛+泼尼松) 是目前治疗 DLBCL 的标准方案<sup>[2]</sup>; 然而, 仍有 30%~40% 的患者存在耐药和复发等问题<sup>[3]</sup>。复发/难治性 DLBCL 通过包括自体造血干细胞移植 (autologous stem cell transplantation, ASCT) 在内的二线或三线治疗也许能够缓解疾病进展, 但整体预后仍不佳<sup>[4]</sup>, 这使得该部分患者成为 DLBCL 治疗的难点。本研究旨在通过回顾性分析 DLBCL 患者的临床特征, 分析这些临床指标对患者疗效及生存的影响, 同时分析挽救性 ASCT、巩固性 ASCT 及利妥昔单抗巩固维持治疗对患者总生存 (overall survival, OS) 的影响。

## 1 对象与方法

### 1.1 病例收集

收集上海交通大学医学院附属新华医院血液科 2015 年 1 月—2020 年 1 月收治的 198 例经病理及免疫分型确诊的 DLBCL 患者的临床资料。除外原发中枢神经系统 DLBCL 10 例、合并第二肿瘤 6 例、基础情况差而无法耐受化学治疗 (化疗) 10 例、临床及病理信息不完善 12 例, 最终入组 160 例患者。

### 1.2 研究指标

分析性别、B 组症状、原发部位、年龄、免疫分型、美国东部肿瘤协作组 (Eastern Cooperative Oncology Group, ECOG) 评分、国际预后指数 (International Prognostic Index, IPI)、临床分期、乳酸脱氢酶 (lactate dehydrogenase, LDH)、白蛋白、血红蛋白、淋巴细胞绝对值等对患者疗效及生存的影响。中期疗效评估依据 CHESON 等<sup>[5]</sup> 提出的 Lugona 标准, 包括完全缓解 (complete remission, CR)、部分缓解 (partial remission, PR)、疾病稳定 (stable

disease, SD)、疾病进展 (progressive disease, PD)。同时, 收集初次诊疗后 2 年内复发/难治的 54 例患者的临床数据, 分析其临床特征, 并评估 ASCT 对 DLBCL 患者预后的影响, 54 例复发/难治性患者中有 4 例接受了 ASCT。目前, 对复发/难治性 DLBCL 尚无明确定义。在本研究中满足以下任何一项即可诊断: ①在 4 个或 4 个以上 R-CHOP 周期后未达到 PR 状态。②经过 2 个或 2 个以上周期的挽救治疗后未达到 PR 状态。③ASCT 后 12 个月内疾病进展<sup>[6]</sup>。

### 1.3 病例分组及疗效评估

将患者 IPI 评分 $\geq 3$  分定义为高危。近期疗效指中期评估时的疗效, 一般指规律化疗 4~6 周期后的系统评估。中期评估时疾病达到 CR 状态的患者有 93 例, 其中高危组 45 例、低危组 48 例。高危组患者中接受 ASCT 的患者 6 例, 接受利妥昔单抗维持治疗的患者 3 例, 未接受进一步巩固治疗的患者 36 例。本研究中患者随访的截止日期为 2021 年 12 月 31 日, 通过电话和医疗文书获得患者随访记录。总有效率定义为 CR 率+PR 率。通过电话和医疗文书获得患者的无进展生存期 (progression-free survival, PFS) 和 OS 资料。

### 1.4 统计学方法

用 SPSS 26.0 软件分析数据。定性资料采用 $\chi^2$  检验或 Fisher 精确概率法。单因素及多因素分析采用 COX 比例风险模型, PH (proportional hazards) 假定用以检验各变量能否纳入 COX 比例风险模型。采用 Kaplan-Meier 方法绘制生存曲线, 组间比较采用 Log-rank 检验。在分组分析前, 对基线变量进行差异分析; 如果变量的分布有显著差异, 进行倾向评分匹配。 $P < 0.05$  表示差异有统计学意义。

## 2 结果

### 2.1 一般资料

160 例 DLBCL 患者的临床特征见表 1。男性患者共有 88 例 (55.0%), 中位年龄是 62 (25~88) 岁。根据 IPI 评分的年龄截点, 将患者初治年龄分为 2 组,

其中年龄>60岁的患者有87例(54.4%)。初治时存在发热、盗汗、消瘦等B组症状者73例(45.6%)，经Hans分型为非生发中心B细胞(non germinal center B cells, Non-GCB)者104例(65%)，初治时ECOG评分<2分者117例(73.1%)，Ann Arbor分期I~II期63例(39.4%)，IPI评分0~2分70例(43.8%)，初治LDH水平升高者109例(68.1%)，低白蛋白者37例(23.1%)，淋巴细胞绝对值计数降低者56例(35.0%)，贫血患者54例(33.8%)，初次化疗方案以R-CHOP方案为主的患者147例(91.9%)。

表1 DLBCL患者基本临床特征 (n=160)

Tab 1 General clinical features of patients with DLBCL (n=160)

Variable	Patients/n(%)
<b>Gender</b>	
Male	88 (55.0)
Female	72 (45.0)
<b>Age</b>	
≤60 years	73 (45.6)
>60 years	87 (54.4)
<b>Group B symptoms</b>	
No	87 (54.4)
Yes	73 (45.6)
<b>Hans classification</b>	
GCB	56 (35.0)
Non-GCB	104 (65.0)
<b>ECOG score</b>	
<2 scores	117 (73.1)
≥2 scores	43 (26.9)
<b>Ann Arbor stage</b>	
I – II	63 (39.4)
III – IV	97 (60.6)
<b>IPI score</b>	
0–2 scores	70 (43.8)
3–5 scores	90 (56.2)
<b>LDH level</b>	
≤211 U·L <sup>-1</sup>	51 (31.9)
>211 U·L <sup>-1</sup>	109 (68.1)
<b>Albumin level</b>	
≥35 g·L <sup>-1</sup>	123 (76.9)
<35 g·L <sup>-1</sup>	37 (23.1)
<b>Absolute lymphocyte level</b>	
>1.1×10 <sup>9</sup> ·L <sup>-1</sup>	104 (65.0)
≤1.1×10 <sup>9</sup> ·L <sup>-1</sup>	56 (35.0)
<b>Anemia</b>	
No	106 (66.2)
Yes	54 (33.8)
<b>Chemotherapy regimen</b>	
R-CHOP	147 (91.9)
CHOP	13 (8.1)

## 2.2 DLBCL患者近期疗效分析

160例DLBCL患者中，年龄≤60岁组患者近期总有效率为94.5%，年龄>60岁组患者为79.3% (P=0.005)；IPI评分0~2分组患者近期总有效率为92.9%，IPI评分3~5分组患者为81.1% (P=0.032)；白蛋白正常组患者近期总有效率为91.1%，低白蛋白组为70.3% (P=0.001)；非贫血组近期总有效率为91.5%，贫血组为75.9% (P=0.007)。该结果提示，初治年龄>60岁、IPI评分3~5分、低白蛋白水平及贫血患者近期疗效不佳，而性别、初治时有无B组症状、Hans分型、ECOG评分、临床分期、LDH水平、淋巴细胞绝对值、化疗方案等因素的组间近期总有效率比较，差异无统计学意义(表2)。

表2 DLBCL患者近期疗效分析

Tab 2 Analysis of short-term efficacy of patients with DLBCL

Variable	Overall response rate (CR+PR)	
	Patients/n(%)	P value
<b>Gender</b>		
Male	74/88 (84.1)	0.381
Female	64/72 (88.9)	
<b>Age</b>		
≤60 years	69/73 (94.5)	0.005
>60 years	69/87 (79.3)	
<b>Group B symptoms</b>		
No	79/87 (90.8)	0.068
Yes	59/73 (80.8)	
<b>Hans classification</b>		
GCB	51/56 (91.1)	0.194
Non-GCB	87/104 (89.7)	
<b>ECOG score</b>		
<2 scores	104/117 (88.9)	0.110
≥2 scores	34/43 (79.1)	
<b>Ann Arbor stage</b>		
I – II	57/63 (90.5)	0.211
III – IV	81/97 (83.5)	
<b>IPI score</b>		
0–2 scores	65/70 (92.9)	0.032
3–5 scores	73/90 (81.1)	
<b>LDH level</b>		
≤211 U·L <sup>-1</sup>	47/51 (92.2)	0.138
>211 U·L <sup>-1</sup>	91/109 (83.5)	
<b>Albumin level</b>		
≥35 g·L <sup>-1</sup>	112/123 (91.1)	0.001
<35 g·L <sup>-1</sup>	26/37 (70.3)	

Continued Tab

Variable	Overall response rate (CR+PR)	
	Patients/n(%)	P value
<b>Absolute lymphocyte level</b>		
>1.1×10 <sup>9</sup> ·L <sup>-1</sup>	93/104 (89.4)	0.112
≤1.1×10 <sup>9</sup> ·L <sup>-1</sup>	45/56 (80.4)	
<b>Anemia</b>		
No	97/106 (91.5)	0.007
Yes	41/54 (75.9)	
<b>Chemotherapy regimen</b>		
R-CHOP	126/147 (85.7)	0.508
CHOP	12/13 (92.3)	

### 2.3 影响DLBCL患者预后的单因素和多因素分析

将单因素COX回归分析中 $P<0.05$ 的变量经过PH假定后纳入多因素分析中。结果显示：患者初治年龄>60岁( $HR=2.788$ , 95%CI 1.575~4.936,  $P=0.000$ ), non-GCB亚型( $HR=2.230$ , 95%CI 1.150~4.324,  $P=0.018$ ), LDH水平升高( $HR=2.064$ , 95%CI 1.006~4.234,  $P=0.048$ ), 低白蛋白水平( $HR=2.052$ , 95%CI 1.169~3.602,  $P=0.012$ )是影响患者PFS的独立危险因素(表3)。患者初治年龄>60岁( $HR=2.269$ , 95%CI 1.060~4.860,  $P=0.035$ ), IPI评分3~5分( $HR=2.557$ , 95%CI 1.132~5.778,  $P=0.024$ )是影响患者OS的独立危险因素(表4)。

表3 影响DLBCL患者PFS的单因素和多因素分析

Tab 3 Univariate and multivariate analysis for the factors affecting PFS of patients with DLBCL

Variable	Univariate analysis		Multivariate analysis	
	HR (95%CI)	P value	HR (95%CI)	P value
Female	0.668 (0.394–1.132)	0.134		
Age>60 years	2.744 (1.553–4.848)	0.001	2.788 (1.575–4.936)	0.000
Group B symptoms	1.424 (0.850–2.386)	0.179		
Non-GCB	2.398 (1.243–4.624)	0.009	2.230 (1.150–4.324)	0.018
ECOG score ≥2	2.330 (1.379–3.937)	0.002		
Ann Arbor stage III–IV	2.496 (1.366–4.561)	0.003		
IPI score 3–5	3.149 (1.722–5.759)	0.000		
Increased LDH [ $>211$ U·L <sup>-1</sup> ]	2.796 (1.413–5.535)	0.003	2.064 (1.006–4.234)	0.048
Low albumin [ $<35$ g·L <sup>-1</sup> ]	2.824 (1.657–4.811)	0.000	2.052 (1.169–3.602)	0.012
Lymphocytopenia ( $\leq 1.1 \times 10^9 \cdot L^{-1}$ )	2.142 (1.277–3.592)	0.004		
Anemia	1.894 (1.128–3.179)	0.016		
Chemotherapy (R-CHOP)	0.937 (0.400–2.195)	0.881		

表4 影响DLBCL患者OS的单因素和多因素分析

Tab 4 Univariate and multivariate analysis for the factors affecting OS of patients with DLBCL

Variable	Univariate analysis		Multivariate analysis	
	HR(95%CI)	P value	HR(95%CI)	P value
Female	0.586 (0.296–1.158)	0.124		
Age>60 years	2.950 (1.416–6.145)	0.004	2.269 (1.060–4.860)	0.035
Group B symptoms	1.283 (0.667–2.468)	0.455		
Non-GCB	1.515 (0.712–3.223)	0.281		
ECOG score ≥2	2.122 (1.092–4.124)	0.027		
Ann Arbor stage III–IV	2.295 (1.078–4.888)	0.031		
IPI score 3–5	3.261 (1.484–7.166)	0.003	2.557 (1.132–5.778)	0.024
Increased LDH [ $>211$ U·L <sup>-1</sup> ]	3.422 (1.329–8.808)	0.011		
Low albumin [ $<35$ g·L <sup>-1</sup> ]	2.423 (1.238–4.745)	0.010		
Lymphocytopenia ( $\leq 1.1 \times 10^9 \cdot L^{-1}$ )	1.760 (0.911–3.402)	0.093		
Anemia	1.720 (0.891–3.320)	0.106		
Chemotherapy (R-CHOP)	1.422 (0.431–4.699)	0.563		

## 2.4 复发/难治性DLBCL患者死亡的危险因素分析

160例初次诊断及治疗的DLBCL患者, 2年内复发/难治患者有54例(33.8%), 至随访终止时出现死亡事件的有34例。54例复发/难治性患者中位年龄为64岁(29~88岁), 初治年龄>60岁(70.4%)、non-GCB亚型(81.5%)、Ann Arbor III~IV期(77.8%)、IPI评分3~5分(77.8%)及LDH升高者(85.2%)居多。其中, 初治年龄>60岁患者中死亡病例25例(65.8%), non-GCB亚型患者中死亡病例26例(59.1%), Ann Arbor分期III~IV期患者中死亡病例26例(61.9%), IPI评分3~5分患者中死亡病例27例(64.3%), 初治LDH水平升高患者中死亡病例30例(65.2%)。不同临床指标水平的患者间比较, 死亡率的差异无统计学意义( $P>0.05$ ), 见表5。

在病情复发进展的患者中, 有4例接受了挽救性ASCT, 随访3年内未出现死亡事件, 提示接受ASCT是复发/难治性DLBCL患者死亡事件发生的保护性因素( $P=0.030$ ), 见表5。

表5 复发/难治性DLBCL患者死亡的危险因素分析

Tab 5 Risk factor analysis for death in patients with relapsed/refractory DLBCL

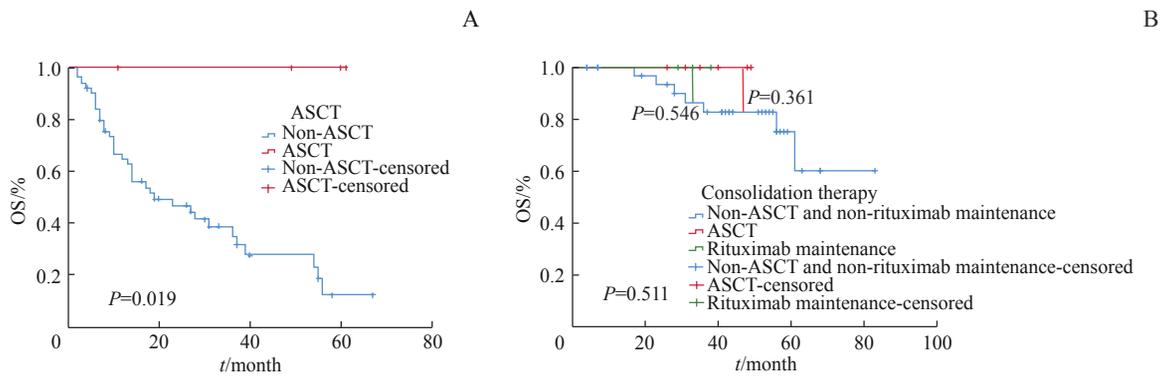
Variable	Death rate	
	Patients/n(%)	P value
<b>Gender</b>		
Male	23/35 (65.7)	0.570
Female	11/19 (57.9)	
<b>Age</b>		
≤60 years	9/16 (56.3)	0.507
>60 years	25/38 (65.8)	
<b>Group B symptoms</b>		
No	17/25 (68.0)	0.477
Yes	17/29 (58.6)	
<b>Hans classification</b>		
GCB	8/10 (80.0)	0.383
Non-GCB	26/44 (59.1)	
<b>ECOG score</b>		
<2 scores	20/31 (64.5)	0.784
≥2 scores	14/23 (60.9)	
<b>Ann Arbor stage</b>		
I-II	8/12 (66.7)	1.000
III-IV	26/42 (61.9)	

Continued Tab

Variable	Death rate	
	Patients/n(%)	P value
<b>IPI score</b>		
0-2 scores	7/12 (58.3)	0.970
3-5 scores	27/42 (64.3)	
<b>LDH level</b>		
≤211 U·L <sup>-1</sup>	4/8 (50.0)	0.670
>211 U·L <sup>-1</sup>	30/46 (65.2)	
<b>Albumin level</b>		
≥35 g·L <sup>-1</sup>	20/32 (62.5)	0.932
<35 g·L <sup>-1</sup>	14/22 (63.6)	
<b>Absolute lymphocyte level</b>		
>1.1×10 <sup>9</sup> ·L <sup>-1</sup>	19/27 (70.4)	0.260
≤1.1×10 <sup>9</sup> ·L <sup>-1</sup>	15/27 (55.6)	
<b>Anemia</b>		
No	19/29 (65.5)	0.675
Yes	15/25 (60.0)	
<b>ASCT</b>		
Yes	0/4 (0)	0.030
No	34/50 (68.0)	

## 2.5 ASCT和利妥昔单抗维持治疗对DLBCL患者OS的影响

160例初次诊断及治疗的DLBCL患者中, 2年内复发或快速进展的患者有54例(33.8%), 经过CHOP/R-CHOP为主的规律化疗, 中期经PET-CT评估达到CR的患者有93例(58.1%), 其中IPI评分≥3分的高危患者有45例。为了进一步了解ASCT和利妥昔单抗维持巩固治疗对高危患者生存的影响, 我们对复发/难治性患者接受和未接受挽救性ASCT与患者OS的关系进行分析。结果显示: 至随访结束, 接受挽救性ASCT的患者尚未出现死亡事件; 而未接受ASCT的患者3年OS率为35.1%, 5年OS率为12.3%, 中位生存期18个月; 2组间差异有统计学意义( $P=0.019$ ), 见图1A。同时分析中期评估达到CR的高危组患者中接受巩固性ASCT的患者( $n=6$ )、接受利妥昔单抗维持巩固治疗患者( $n=3$ )和未接受ASCT及利妥昔单抗维持治疗的患者( $n=36$ )的OS, 结果显示: 接受巩固性ASCT及利妥昔单抗维持治疗的患者, 至随访结束尚未出现死亡事件; 未接受巩固治疗组患者3年OS率为82.8%, 5年OS率为60.2%; 3组间差异无统计学意义(图1B)。



**Note:** A. OS curve of relapsed/refractory DLBCL patients with ASCT (Log-rank  $P=0.019$ ). B. OS curve of ASCT or rituximab maintenance therapy in patients in the high-risk group with CR who had achieved interim assessment (ASCT vs no consolidation therapy, Log-rank  $P=0.361$ ; rituximab maintenance vs no consolidation therapy, Log-rank  $P=0.546$ ). There was no significant difference among the three groups,  $P=0.511$ ).

**图1** ASCT及利妥昔单抗维持治疗的DLBCL患者的生存曲线与生存分析

**Fig1** Survival curves and survival analysis of patients with ASCT or rituximab maintenance therapy

### 3 讨论

DLBCL患者在临床特征、基因表型、对治疗方案的反应性等方面具有很强的异质性。虽然R-CHOP方案的使用极大改善了患者的总体预后,但仍有部分复发/难治性患者预后不佳,是目前DLBCL治疗的难点。影响DLBCL预后的因素很多,本研究发现患者初治年龄 $>60$ 岁和IPI评分3~5分是影响患者OS的独立危险因素。这些危险因素在复发/难治性DLBCL患者的临床特征中也得到验证;但对于复发/难治性患者,这些临床指标的预后价值明显下降。

对于复发/难治性DLBCL患者,后续治疗方案的选择是延长这类患者生存期的重点和难点。研究表明,在复发/难治性DLBCL患者中,ASCT治疗后4年PFS率在40%~50%<sup>[7-8]</sup>。本研究至随访结束,接受挽救性ASCT组患者尚未出现死亡事件;而未接受ASCT组患者中位生存期18个月,3年OS率35.1%,5年OS率12.3%。挽救性化疗和ASCT是这些患者可行且有效的治疗方法。

近年来,随着基因测序与细胞免疫的发展,越来越多的治疗方法应用于复发/难治性DLBCL患者。CART(chimeric antigen receptor T-cell)疗法基于自体T细胞的基因修饰,以表达嵌合受体,靶向CD19等在DLBCL中高度表达的抗原,可使部分的复发/难治性DLBCL患者达到CR,有效提高患者总生存率<sup>[9-11]</sup>。WANG等<sup>[12]</sup>研究发现ASCT联合CART组与ASCT组相比,表现出更好的3年PFS,但3年OS未见明显差异。细胞免疫疗法是目前针对复发/难治性

DLBCL患者较有前景的新型疗法,多项免疫治疗相关临床试验均取得较好的结果<sup>[13-16]</sup>。因本研究是回顾性分析,采用新型疗法的复发/难治性DLBCL患者较少,故暂未评估CART疗法及细胞免疫疗法在复发/难治性DLBCL患者中的治疗效果。这也是本研究的不足之处。

巩固性ASCT与利妥昔单抗维持治疗,哪种方法对DLBCL患者的治疗效果更好,尚未明确。有研究表明:与复发性DLBCL中ASCT治疗的患者相比,一线ASCT治疗的套细胞淋巴瘤或原发中枢神经系统DLBCL患者的OS和PFS更好<sup>[17]</sup>;对于侵袭性DLBCL患者,一线治疗接受ASCT的患者与单独接受化疗患者比较,在OS与PFS方面均无明显差异<sup>[18]</sup>。在本研究中,中期评估达到CR的高危组患者(45例)中有6例患者随后接受了ASCT,其中2例在移植后1年内出现复发进展,但至随访终点时间尚未出现死亡病例;与仅接受化疗组患者相比,巩固性移植组在OS方面暂未表现出优越性( $P=0.511$ )。

利妥昔单抗维持治疗可改善滤泡性淋巴瘤患者预后<sup>[19-20]</sup>,而利妥昔单抗维持治疗在DLBCL中的相关研究较少。一项meta分析<sup>[21]</sup>结果显示,与不接受任何巩固治疗的DLBCL患者相比,接受利妥昔单抗维持治疗的患者的PFS明显提高,但OS没有显著差异。本研究中,中期评估达到CR状态的高危组DLBCL患者中,3例接受利妥昔单抗维持治疗,与未接受利妥昔单抗维持治疗的患者相比,OS同样没有显著差异。但因本研究纳入病例较少,研究结果可能存在一定偏差,巩固性ASCT及利妥昔单抗维持治疗的临床

效果仍需大量、前瞻性临床数据证实。

#### 利益冲突声明/Conflict of Interests

所有作者声明不存在利益冲突。

All authors disclose no relevant conflict of interests.

#### 伦理批准和知情同意/Ethics Approval and Patient Consent

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This study was approved by Xinhua Hospital, Shanghai Jiao Tong University School of Medicine (No. XHEC-D-2022-148). An exemption from informed consent has been applied due to the retrospective nature of the study.

#### 作者贡献/Authors' Contributions

所有作者均参与研究设计。赵洁、姜言负责材料准备、数据收集和分析、论文撰写。赵洁、郝思国负责论文的修订。所有作者均阅读并同意了最终稿件的提交。

All the authors contributed to the study design. Material preparation, data collection and analysis, and paper writing were performed by ZHAO Jie and JIANG Yan. ZHAO Jie and HAO Siguo were responsible for the revision of the paper. All the authors have read the last version of paper and consented for submission.

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